| General information about company | | | | | | | |
|--|---------------------------------|--|--|--|--|--|--|
| Scrip code | 508954 | | | | | | |
| NSE Symbol | | | | | | | |
| MSEI Symbol | | | | | | | |
| ISIN | INE734I01027 | | | | | | |
| Name of the company | Finkurve Financial Services Ltd | | | | | | |
| Whether company is SME | No | | | | | | |
| Class of Security | Equity Shares | | | | | | |
| Type of report | Quarterly | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2018 | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | |

| | Declaration | | | | | | | | | |
|------------|--|--------|--------------------------------|-----------------------|-----------------------------|--|--|--|--|--|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public | | | | | |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No | | | | | |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No | | | | | |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No | | | | | |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No | No | No | | | | | |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No | | | | | |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | | | | | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No | | | | | |

| _ | | Tab | ole I - Sui | nmary | Statement | holding | of specified sec | urities | | | _ |
|--------------|---|--------------|---------------------|-----------------------|--|---|-------------------------------------|------------------------|---------------|---------------------|-------------------------|
| | Category | Nos. Of | No. of fully paid | No. Of Partly | Partly shares underlying equity Papasitory | Total nos. | Shareholding as a % of total no. of | Number of class of sec | | Rights held (IX) | in each |
| Category (I) | of shareholder | shareholders | up equity | equity | | held (VII) = (IV)+ (VII) (VIII) As shares (calculate as per SCRR, 1957) (VIII) As | shares (calculated as per SCRR. | No of Voti | ng (XIV | /) Rights | Total as a % of (A+B+C) |
| (-) | (II) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | | 1957) (VIII) As a % of (A+B+C2) | Class eg: | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 4 | 70230700 | | | 70230700 | 72.7 | 70230700 | | 70230700 | 72.7 |
| (B) | Public | 308 | 26369300 | | | 26369300 | 27.3 | 26369300 | | 26369300 | 27.3 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 312 | 96600000 | | | 96600000 | 100 | 96600000 | | 96600000 | 100 |

| | | Tabl | e I - Summ | ary Statemen | t holding of specified | secu | rities | | | |
|------|--|---------------------------------------|---------------------------------|--|--|--|--------|--|--|-------------------------|
| | shareholder (II) Outstanding convertible securities (X) Outstanding Warrants (Xi) as a percentage of diluted share capital) (XI) (XI) (XI) (XI) (XI) (XI) (XI) (XI | Shares Underlying No. of Shares | Shares Underlying | Underlying Outstanding convertible | assuming full conversion of convertible securities (| Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
| (I) | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) | | | | |
| (A) | Promoter & Promoter Group | | | | 72.7 | | | | | 70230700 |
| (B) | Public | | | | 27.3 | | | | | 23964800 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 94195500 |

| | | | | No. Of | No. Of | Total nos. | Shareholding as a % of total no. of | Number of class of sec | | Rights held i | in each |
|---|---|--|-----------------------------------|---------------------------------|------------------------------------|---------------------------|--|------------------------|---------------|---------------|---------------------------------|
| Sr. | Category & Name of the | Nos. Of shareholders | No. of fully paid up equity | Partly paid- up | shares underlying Depository | shares held (VII) = | shares (calculated as per | No of Votin | ıg (XIV |) Rights | Total as a % |
| | Shareholders (I) | (III) | shares held (IV) | equity shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights |
| A | Table II - Statemen | t showing shar | eholding pat | tern of th | ne Promoter a | and Promote | r Group | | 1 | | I |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 4 | 70230700 | | | 70230700 | 72.7 | 70230700 | | 70230700 | 72.7 |
| Sub-Total (A)(1) | | 4 | 70230700 | | | 70230700 | 72.7 | 70230700 | | 70230700 | 72.7 |
| (2) | Foreign | reign | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 4 | 70230700 | | | 70230700 | 72.7 | 70230700 | | 70230700 | 72.7 |
| В | Table III - Statemen | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | Institutions | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 263 | 5595895 | | | 5595895 | 5.79 | 5595895 | | 5595895 | 5.79 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 25 | 18597476 | | | 18597476 | 19.25 | 18597476 | | 18597476 | 19.25 |
| (e) | Any Other (specify) | 20 | 2175929 | | | 2175929 | 2.25 | 2175929 | | 2175929 | 2.25 |
| Sub-Total (B)(3) | | 308 | 26369300 | | | 26369300 | 27.3 | 26369300 | | 26369300 | 27.3 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 308 | 26369300 | | | 26369300 | 27.3 | 26369300 | | 26369300 | 27.3 |
| С | Table IV - Statemen | nt showing sha | reholding pa | ittern of | the Non Pron | noter- Non P | ublic sharehold | er | | | |
| Total (A+B+C2) | | 312 | 96600000 | | | 96600000 | 100 | 96600000 | | 96600000 | 100 |
| Total (A+B+C) | | 312 | 96600000 | | | 96600000 | 100 | 96600000 | | 96600000 | 100 |
| | | | | | | | | | | | |

| Sr. | No. Of Shares Underlying Outstanding | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | equity shares held in |
|--|---|---------------------------------|---|--|--|---------------------------------|--|---------------------------------|------------------------------|
| | convertible securities (X) | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| A | Table II - State | ement showing | shareholding patte | ern of the Promoter and Promot | er Gr | oup | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 72.7 | | | | | 70230700 |
| Sub-Total (A) (1) | | | | 72.7 | | | | | 70230700 |
| (2) | Foreign | • | | • | • | • | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 72.7 | | | | | 70230700 |
| В | Table III - Sta | tement showin | g shareholding patt | tern of the Public shareholder | ı | | ı | ı | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutio | ns | | | | | | | |
| (a(i)) | | | | 5.79 | | | | | 3191395 |
| (a(ii)) | | | | 19.25 | | | | | 18597476 |
| (e) | | | | 2.25 | | | | | 2175929 |
| Sub-Total (B) (3) | | | | 27.3 | | | | | 23964800 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 27.3 | | | | | 23964800 |
| С | Table IV - Sta | tement showin | g shareholding pat | tern of the Non Promoter- Non | Publi | c shareho | older | | |
| Total (A+B+C2) | | | | 100 | | | | | 94195500 |
| Total (A+B+C | | | | 100 | | | | | 94195500 |

| | | Individuals/Hindu ur | ndivided Family | | |
|---|-----------------------|----------------------------------|--------------------------------|--------------------|--------------------------|
| Searial No. | 1 | 2 | 3 | 4 | |
| Name of the Shareholders (I) | DEVKUMARI KOTHARI | MOHINIDEVI BHANWARLAL KOTHARI | KALAWATI PRITHVIRAJ KOTHARI | KETAN B KOTHARI | Click here to go back |
| PAN (II) | ALDPK5488Q | AENPK7707B | AAGPK2831H | AGSPK3188R | Total |
| No. of fully paid up equity shares held (IV) | 1071270 | 7635600 | 8072600 | 53451230 | 70230700 |
| No. Of Partly paid- up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1071270 | 7635600 | 8072600 | 53451230 | 70230700 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.11 | 7.9 | 8.36 | 55.33 | 72.7 |
| Number of Voting | Rights held in each c | lass of securities (IX) | | | |
| Class eg:X | 1071270 | 7635600 | 8072600 | 53451230 | 70230700 |
| Class eg:y | | | | | |
| Total | 1071270 | 7635600 | 8072600 | 53451230 | 70230700 |
| Total as a % of Total Voting rights | 1.11 | 7.9 | 8.36 | 55.33 | 72.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.11 | 7.9 | 8.36 | 55.33 | 72.7 |
| Number of Locked | in shares (XII) | • | • | • | • |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of Shares | pledged or otherwise | encumbered (XIII) | • | • | • |
| No. (a) | | | | | |
| | | | | | |

| As a % of total Shares held (b) | | | | | |
|--|------------|----------|----------|----------|----------|
| Number of equity shares held in dematerialized form (XIV) | 1071270 | 7635600 | 8072600 | 53451230 | 70230700 |
| Reason for not pro | viding PAN | | | | |
| Reason for not providing PAN | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | |

| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------------------|-------------------------------------|------------------------------|-----------------------------|----------------------------|---------------------------|--------------------------|
| Name of the Shareholders (I) | SHOBHA RAMESH MEHTA | HARAKHCHAND FATECHAND VARDHAN | KISHORE DEEPCHAND JAIN | CHETAN MAFATLAL BAFNA | NARENDRA SHIVJI SHAH | PRAKASH RAJESH JAIN | VIPUL RAMESH MEHTA |
| PAN (II) | AXIPM3658B | ADOPV8760D | AAHPJ7700P | AABPB2227R | AFZPS2007P | AHLPJ3437E | ARHPM7390N |
| No. of fully paid up equity shares held (IV) | 1022000 | 1050000 | 1260700 | 1281000 | 1302000 | 1372000 | 1396500 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1022000 | 1050000 | 1260700 | 1281000 | 1302000 | 1372000 | 1396500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.06 | 1.09 | 1.31 | 1.33 | 1.35 | 1.42 | 1.45 |
| Number of Voting | Rights held in ea | ach class of securities | (IX) | | | | |
| Class eg: X | 1022000 | 1050000 | 1260700 | 1281000 | 1302000 | 1372000 | 1396500 |
| Class eg:y | | | | | | | |
| Total | 1022000 | 1050000 | 1260700 | 1281000 | 1302000 | 1372000 | 1396500 |
| Total as a % of Total Voting rights | 1.06 | 1.09 | 1.31 | 1.33 | 1.35 | 1.42 | 1.45 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.06 | 1.09 | 1.31 | 1.33 | 1.35 | 1.42 | 1.45 |
| Number of Locked | l in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in | 1022000 | 1050000 | 1260700 | 1281000 | 1302000 | 1372000 | 1396500 |

| dematerialized form (XIV) | | | | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | | | | |
| Reason for not providing PAN | | | | | | | | | | |

| G : 137 | | | | | | | |
|---|--|-----------------------|--|--|--|--|--|
| Searial No. | 8 | | | | | | |
| Name of the Shareholders (I) | SANGEETA BISHON SINGH | Click here to go back | | | | | |
| PAN (II) | AVLPS1777Q | Total | | | | | |
| No. of fully paid up equity shares held (IV) | 1501500 | 10185700 | | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1501500 | 10185700 | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.55 | 10.54 | | | | | |
| Number of Voting | g Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 1501500 | 10185700 | | | | | |
| Class eg:y | | | | | | | |
| Total | 1501500 | 10185700 | | | | | |
| Total as a % of Total Voting rights | 1.55 | 10.54 | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.55 | 10.54 | | | | | |
| Number of Locked | ed in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1501500 | 10185700 | | | | | |

| <u> </u> | | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | | |
| Reason for not providing PAN | | | | | | | | |

| Any Other (specify) | | | | | | | | | |
|--|---------------------|-----------------------|--|----------|--------------------------|--|--|--|--|
| Searial No. | 1 | 2 | 3 | 4 | | | | | |
| Category | Clearing Members | Bodies Corporate | HUF | HUF | | | | | |
| Category / More than 1 percentage | Category | Category | More than 1 percentage of shareholding | Category | | | | | |
| Name of the Shareholders (I) | | | DINESH HARAKHCHAND VARDHAN (HUF) | | Click here to go back | | | | |
| PAN (II) | | | AAGHD2480M | | Total | | | | |
| No. of the Shareholders (I) | 1 | 4 | 1 | 15 | 20 | | | | |
| No. of fully paid up equity shares held (IV) | 50 | 570307 | 1015000 | 1605572 | 2175929 | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 50 | 570307 | 1015000 | 1605572 | 2175929 | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0.59 | 1.05 | 1.66 | 2.25 | | | | |
| Number of Voting | Rights held in ea | ach class of securiti | es (IX) | | | | | | |
| Class eg: X | 50 | 570307 | 1015000 | 1605572 | 2175929 | | | | |
| Class eg:y | | | | | | | | | |
| Total | 50 | 570307 | 1015000 | 1605572 | 2175929 | | | | |
| Total as a % of Total Voting rights | 0 | 0.59 | 1.05 | 1.66 | 2.25 | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | 0.59 | 1.05 | 1.66 | 2.25 | | | | |

| No. (a) | | | | | | | | | |
|--|----|--------|---------|---------|---------|--|--|--|--|
| As a % of total Shares held (b) | | | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 50 | 570307 | 1015000 | 1605572 | 2175929 | | | | |
| Reason for not providing PAN | | | | | | | | | |
| Reason for not providing PAN | | | | | | | | | |